



# Family Wealth Inventory and Assessment

### PRIVACY NOTICE

The information on this form is confidential and will be disclosed only to The Chubb Law Firm.

**Please return this form to us by mail or fax prior to appointment.**

If you are not certain about an answer, leave the space blank. Values of assets are approximate – a “best guess” is fine.

## PERSONAL INFORMATION:

Your Name \_\_\_\_\_ Date of Birth \_\_\_\_\_

Home Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Home Phone Number \_\_\_\_\_ Business Phone Number \_\_\_\_\_

Email Address \_\_\_\_\_  It is okay to communicate with me via E-mail.

Occupation & Employer \_\_\_\_\_

### Martial/Partner Status

- Single
- Married \_\_\_\_\_ Date of Marriage \_\_\_\_\_
- Divorced  Separated \_\_\_\_\_ Date of Separation or Dissolution \_\_\_\_\_
- Widowed \_\_\_\_\_ Date of Death \_\_\_\_\_
- Registered Domestic Partners \_\_\_\_\_ Date of Registration \_\_\_\_\_

Spouse/Partner's Name \_\_\_\_\_ Date of Birth \_\_\_\_\_

Spouse/Partner's Occupation & Employer \_\_\_\_\_

Spouse/Partner Business Phone Number \_\_\_\_\_ Email Address \_\_\_\_\_



***(If YES, please bring copies of these documents to our consultation appointment.)***

Have you/spouse/partner completed previous will, trust or estate planning?..... Yes  No

Are you/spouse/partner making payments pursuant to a divorce or property settlement agreement? ..... Yes  No

Have you/spouse/partner ever signed a pre- or post-marriage contract? ..... Yes  No

Have you/spouse/partner been widowed? ..... Yes  No

Was a federal estate tax return filed? ..... Yes  No

Have you/spouse/partner ever filed federal or state gift tax returns? ..... Yes  No

Are you a U.S. citizen? ..... Yes  No

Is Spouse/Partner a U.S. citizen? ..... Yes  No

Are you or Spouse/Partner a Veteran or the widow of a Veteran? ..... Yes  No



Who referred you to our office for this consultation? \_\_\_\_\_

**Living Children:**

Name	Gender	Date of Birth	Parents

**Deceased Children:**

Name	Gender	Date of Death	Parents

**Descendants of Deceased Children:**

Name	Gender	Date of Birth	Parents

- Do any of the children have a learning disability? ..... Yes  No
- Do any of the children have special educational, medical or physical needs? ..... Yes  No
- Do any of the children receive governmental support or benefits? ..... Yes  No
- Are any of the children institutionalized? ..... Yes  No
- Do you provide primary or other major financial support to adult children? ..... Yes  No

**ADOPTION**

- Are any of your children adopted? ..... Yes  No
- Have any of your grandchildren been adopted by your children? ..... Yes  No
- Have you given up any children for adoption? ..... Yes  No
- Have any of your grandchildren been given up for adoption? ..... Yes  No
- Do you want to include any (grand)child given up for adoption in your plan? ..... Yes  No

**STEP-CHILDREN AND STEP-GRANDCHILDREN ISSUES**

Notes: \_\_\_\_\_  
 \_\_\_\_\_

**ASSET INFORMATION:**

Please list the approximate totals of each category of assets:

**Cash Accounts** [Savings, Checking, Certificate of Deposits (CDs), Money Market Funds, etc.]

Yours \$ \_\_\_\_\_  
Spouse/Partner \$ \_\_\_\_\_  
Joint \$ \_\_\_\_\_

**Stocks, Bonds & Mutual Funds** [held individually or in brokerage accounts]

Yours \$ \_\_\_\_\_  
Spouse/Partner \$ \_\_\_\_\_  
Joint \$ \_\_\_\_\_

**Retirement Plan Investments**

**IRAs (Traditional and Roth)**

Yours \$ \_\_\_\_\_  
Spouse/Partner \$ \_\_\_\_\_

**403(b)**

Yours \$ \_\_\_\_\_  
Spouse/Partner \$ \_\_\_\_\_

**Tax Sheltered Annuities (TSA)**

Yours \$ \_\_\_\_\_  
Spouse/Partner \$ \_\_\_\_\_

**Other tax deferred accounts**

Yours \$ \_\_\_\_\_  
Spouse/Partner \$ \_\_\_\_\_

**401(k)**

Yours \$ \_\_\_\_\_  
Spouse/Partner \$ \_\_\_\_\_

**457**

Yours \$ \_\_\_\_\_  
Spouse/Partner \$ \_\_\_\_\_

**Deferred Comp**

Yours \$ \_\_\_\_\_  
Spouse/Partner \$ \_\_\_\_\_

**Pensions/CalPERS/STRS**

Yours \$ \_\_\_\_\_  
Spouse/Partner \$ \_\_\_\_\_

**Life Insurance Policies & Regular Annuities** [Do not include “accidental death” benefits on bank accounts, credit cards, etc.]:

Total Death Benefits Payable on Your death \$ \_\_\_\_\_  
Total Death Benefits Payable on death of Spouse/Partner \$ \_\_\_\_\_

**Sole Proprietorship, Corporation, Partnership, Business and Professional Interests**

[Type: C Corporation (C), S Corporation (S), Sole Proprietorship (SP), Partnership (P), Limited Liability Company (LLC)]

Description of Business	Type	Value/%	Owner
_____			
_____			
_____			

**Real Property** [residence, investment property, rental, condo, timeshare, etc.]:

General Description and/or Address & State	Fair Market Value	Mortgage Amount	Owner
_____			
_____			
_____			

**Oil/Gas/Mineral/Water Rights**

General Description and/or Address & State	Fair Market Value	Owner
_____		
_____		

**Autos/Boats/RVs**

Yours \$ \_\_\_\_\_ Spouse/Partner \$ \_\_\_\_\_ Joint \$ \_\_\_\_\_

**Other Assets** [Furniture, Jewelry, Artwork, Collections, etc.]

Yours \$ \_\_\_\_\_ Spouse/Partner \$ \_\_\_\_\_ Joint \$ \_\_\_\_\_

**Anticipated Inheritance or Gift**

Yours \$ \_\_\_\_\_ Spouse/Partner \$ \_\_\_\_\_

**Does Anyone Owe You Money?** [debts payable to you] Total amount: \$ \_\_\_\_\_

Do you have a written agreement evidencing the debt? Yes  No

**Are you a co-owner of any assets other than with your Spouse/Partner?** Yes  No

Notes:

\_\_\_\_\_  
\_\_\_\_\_

**If spouse predeceased you, or if divorced, is former spouse's name still on your assets?** Yes  No

Notes:

\_\_\_\_\_  
\_\_\_\_\_

# Estate Planning Goals, Concerns, and Complexity

Please identify your estate planning goals, concerns, and complexity or areas you would like to learn more about (select as many as you wish):

## Protect Your Children or other Beneficiaries

- From predators who can access inheritance amounts and target young or vulnerable beneficiaries
- From claims of divorced spouses to have half of your child or beneficiary's inheritance
- From malpractice claims, for beneficiaries in the professions
- From other creditor claims (such as car accident plaintiffs)
- From the stress and delays of the average 16-month process of probate
- From financial immaturity resulting in a quick loss of the entire inheritance
- From sharing assets with heirs you would rather disinherit
- From lawsuits by disinherited heirs
- For parents only:*** From relatives who would be poor, abusive or even dangerous guardians
- For parents only:*** From acquaintances and relatives who should not be allowed to be alone with your children
- For parents only:*** From a court proceeding that costs precious time in the event you are unable to authorize needed medical care for your child
- For special needs beneficiaries only:*** From neglect in the government care system

## Protect Yourself and Your Spouse/Partner

- From malpractice or creditor claims
- From conservatorship proceedings (aka "living probate") if you or your partner become incapacitated
- From probate delays and stress upon your death or the death of your partner
- From hospital policies requiring life-sustaining procedures when you would rather not endure them
- From healthcare decisions made by people other than those you trust most

## Ensure Quality of Life

- By benefiting a charitable organization or activity
- By planning for your pets
- By supporting a common family goal through coordinated planning
- By providing that your death will not be unnecessarily prolonged by artificial means
- By providing guidelines to keep the family vacation home in the family for several generations
- For parents only:** By providing guidelines for how your children should be supported while their assets are in trust
- For special needs beneficiaries only:** By providing instructions, people, and assets to support your special needs beneficiary above a poverty lifestyle
- For business owners only:** By providing for the orderly continuation and transfer of family business interests rather than a distress sale

## Maximize Assets

- By minimizing taxes during your life (income taxes, capital gains taxes, estate taxes on inheritances you expect to receive)
- By minimizing or eliminating estate taxes upon your death (up to 55% of your assets and life insurance benefits)
- By reducing estate administration costs through probate avoidance
- By avoiding or limiting MediCal claims on your assets should you require long-term care
- By ensuring that a special needs beneficiary has assets that are protected from government seizure while retaining eligibility for needed services
- By ensuring that your family has enough life insurance to provide a reasonable lifestyle no matter what

## Estate Complexity

### Children

- All children of this marriage/relationship
- Some or all children from previous relationship
- Some children estranged

### Amount of Estate

(include life insurance death benefits)

- Less than \$1 million
- Between \$1 million and \$2 million
- Over \$2 million

### Beneficiaries

- All adults
- Some minor beneficiaries
- “Special Needs” beneficiaries

### Retirement Plan & Annuity Assets

(IRA, 401(k), 403(b), TSA, etc.)

- Less than \$100,000
- Between \$100,000 - \$500,000
- Over \$500,000